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Docket No.: 13156-00007-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ulrich Karl et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: Concurrently Herewith

Art Unit: N/A

For: DERIVATIVES OF POLYMERS FOR
PERMANENT MODIFICATION OF
HYDROPHOBIC POLYMERS

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A copy of each reference on the PTO/SB/08 is attached, except for U.S. patent documents. The references cited are patent literature references cited in the International Search Report. A copy of the International Search Report is enclosed, attached to the German-language copy of the International Application.

Application No.: Not Yet Assigned

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No fee is believed due for the filing of this IDS. However, if Applicants are mistaken, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 13156-00007-US.

Dated: April 11, 2005

Respectfully submitted,

By Christine M. Hansen

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
		Application Number	Not Yet Assigned		
		Filing Date	Concurrently Herewith		
		First Named Inventor	Ulrich Karl		
		Art Unit	N/A		
		Examiner Name	Not Yet Assigned		
		Attorney Docket Number	13156-00007-US		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,316,973	02-23-1982	Kennedy	
	AB	US-4,987,190	01-22-1991	Keogh	
	AC	US-5,122,573	06-16-1992	Knoll et al.	
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	AE	US-5,980,594	11-09-1999	Kropp et al.	
	AF	US-2003/0187171	10-02-2003	Lange et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA	DE-1 298 711	07-03-1969	Badische Anilin-&Soda-Fabrik AG		See Abstract
	BB	EP-0 324 278	07-19-1989	Nippon Petrochemicals Company Limited		
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	BE	EP-0 410 180	01-30-1991	BASF AG		See Abstract
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	BM	WO-96/26308	08-29-1996	Borealis A/S		
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	BO	WO-01/70830	09-27-2001	Chevron Oronite Company LLC		
	BP	WO-02/26840	04-04-2002	BASF AG		See US 2003/0187171

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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Examiner Signature	Date Considered	
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